



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/783,841	02/20/2004	Gail Ellen McGiffin	10022/444 (01509-01/US)

28164  
 ACCENTURE CHICAGO 28164  
 BRINKS HOFER GILSON & LIONE  
 P O BOX 10395  
 CHICAGO, IL 60610



CONFIRMATION NO. 7513

## FORMALITIES LETTER



\*OC000000012672848\*

Date Mailed: 05/14/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

09/20/2004 AWONDAF1 00000121 10783841

01 FC:1051 130.00 00

*A copy of this notice **MUST** be returned with the reply.*

UG

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:  
 Date: September 14, 2004 Name: Susan D. Reinecke, Reg. No. 40,198 Signature: *Susan D. Reinecke*

**BRINKS  
HOFFER  
GILSON  
& LIONE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Gail E. McGiffin, et al.

Appn. No.: 10/783,841

Examiner: N/A

Filed: February 20, 2004

Art Unit: 3624

For: ACCOUNT LEVEL PARTICIPATION FOR  
UNDERWRITING COMPONENTS

Attorney Docket No: 10022/444 (01557-00/US)

Mail Stop Missing Parts  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Sir:

**Attached is/are:**

- ☒ Transmittal Letter (in duplicate, 1 page each); Response to Notice to File Missing Parts (in duplicate, 2 pages each); Notice to File Missing Parts (2 pages); a Check in the amount \$130.00 for surcharge; Declaration (10 pages)
- ☒ Return Receipt Postcard

**Fee calculation:**

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☒ Petition for a Two (2)-Month Extension of Time under 37 C.F.R. § 1.136(a) (in duplicate, 2 pages each); a Check in the amount of \$420.00 for extension fee.
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+ \$145=			+ \$290=	
					Total	\$		Total	\$

**Fee payment:**

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

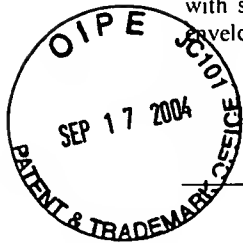
Respectfully submitted,

Date

*9/14/04*

*Susan D. Reinecke*  
Susan D. Reinecke (Reg. No. 40,198)

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:



Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313  
on September 14, 2004  
\_\_\_\_\_  
Date of Deposit

\_\_\_\_\_  
Susan D. Reinecke, Reg. No. 40,198

\_\_\_\_\_  
Name of applicant, assignee or  
Registered Representative

\_\_\_\_\_  
*Susan D. Reinecke*  
Signature

\_\_\_\_\_  
9-14-04

\_\_\_\_\_  
Date of Signature

Case No. 10022/444 (01557-00/US)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Gail E. McGiffin, et al.

Serial No.: 10/783,841

Filed: February 20, 2004

For: ACCOUNT LEVEL  
PARTICIPATION FOR  
UNDERWRITING  
COMPONENTS

Attention: Manager,  
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn.: Mail Stop Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated May 14, 2004, a copy of which is attached (2 pages), enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application for the above-referenced patent application (10 pages).

- ☒ Applicant:
- ☐ claims small entity status. See 37 CFR1.27.
- ☒ is other than small entity
- ☒ Checks totaling \$130.00 for:
- ☐ Filing Fee of \$\_\_\_\_.
- ☒ Surcharge of \$130.00.
- ☐ Additional Claim Fees of \$\_\_\_\_.
- ☒ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate, 2 pages each).
- ☒ Other: a Check in the amount of \$420.00.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: September 14, 2004



Susan D. Reinecke  
Registration No. 40,198  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200